

2131  
#2  
135  
12-27-02

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:  
Dr. Walter Rosenbaum

Art Unit: 2131

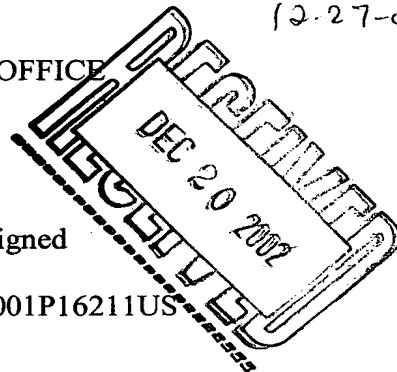
Serial No.: 09/961,088

Examiner: to be assigned

Filing Date: 24.09.2001

Atty. Docket No.: 2001P16211US

For: Electronic Cash on Delivery



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington DC 20231

RECEIVED  
DEC 18 2002  
Technology Center 2100

Sir:

In compliance with Rule 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet.

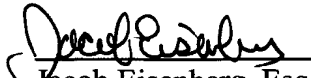
A concise explanation of the relevance of these items is set out in the specification and/or in that these references were cited by the European Patent Office in the corresponding European Application, No. EP 01122771, and International Search Report for corresponding International Application PCT/EP00/04146, and/or mentioned in the above referenced US application and/or determined by Applicant(s) to be related to the patentability of the claims.

Pursuant to Rule 1.97(b), this information disclosure statement is being filed prior to the first examination on the merits. Therefore no fee for this filing is due. Consideration of the attached references by the Examiner is requested.

Respectfully Submitted,  
Siemens Schweiz  
Customer No. 28204

12/10/2002

Date  
Siemens Schweiz  
Intellectual Property  
IP, I-44  
Albisriederstrasse 245  
CH-8047 Zürich, Switzerland  
Tel: +41 (0) 585 583 295  
Fax: +41 (0) 585 583 228

  
Jacob Eisenberg, Esq.  
Registration No. 43,410

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitution for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		<b>Application Number</b>	09/961.088
		<b>Filing Date</b>	09/24/2001
		<b>First Named Inventor</b>	Walter ROSENBAUM
		<b>Art Unit</b>	2131
		<b>Examiner Name</b>	to be assigned
		<b>Attorney Docket Number</b>	2001P16211US
Sheet	1	of	2

[illegible]

RECEIVED  
DEC 18 2002  
Technology Center 2100

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Type of Document
		Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		DE 19925524A	12.07.2000	Identcom GmbH	search report	
		WO 00/65517	11.02.2000	Hertz Tech...	search report	
		WO 01/31593	10.27.2000	Imagina Tech...	search report	
		WO/ 97/41499	11.06.1997	Martinez	search report	
		EP 0791901 A2	08.29.1997	Card Call Service	search report	
		WO 00/70565	11.23.2000	Identcom GmbH	De ref. abstract	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Required to respond to a collection of information unless it contains a valid OMB

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

